



UNITED STATES DEPARTMENT OF EDUCATION

SCHOOL PARTICIPATION MANAGEMENT DIVISION

ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

DATE PRINTED: 02/16/2016

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NAME AND ADDRESS OF INSTITUTION: California Institute of Technology
 D/B/A Caltech
 1200 East California Boulevard
 Pasadena, CA 91125-0001

TYPE OF INSTITUTION: Private, Nonprofit

CONGRESSIONAL DISTRICT: 29

DEPARTMENT REGION: 09

ACTION DATE: 07/16/2015

SCHOOL PARTICIPATION TEAM: 09

ACTION: Reapprove Elig/Full Cert

OPE ID: 00113100

FEDERAL PELL GRANT ID: 001131

TIN: 951643307

FEDERAL FAMILY EDUCATION LOAN ID: 001131

IPEDS ID: 110404

FEDERAL DIRECT STUDENT LOAN ID: G01131

DUNS NBR: 009584210

FEDERAL PERKINS LOAN ID: 000275

FEDERAL SCHOOL CODE: 001131

FEDERAL WORK STUDY ID: 000275

FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANT ID: 000275

ACADEMIC CALENDAR: Quarter Hours

ELIGIBLE: Y

EDUCATIONAL PROGRAM LEVELS OFFERED:

INITIAL APPROVAL DATE: 01/01/1966

Bachelor's Degree

CERTIFIED: Certified

Master's Degree or Doctor's Degree

LOAN DEFERMENT: Y

PROGRAM PARTICIPATION AGREEMENT

WAIVER(S):

EFFECTIVE DATE: 08/10/2015

*** End of Waivers ***

EXPIRATION DATE: 06/30/2021

THE INSTITUTION IS ELIGIBLE TO APPLY FOR PARTICIPATION IN THE FOLLOWING
 PROGRAMS AUTHORIZED UNDER THE HIGHER EDUCATION ACT OF 1965, AS AMENDED:

TITLE I: Y	TITLE IV: Y	TITLE VII: Y	TITLE X: Y	TITLE XIII: Y
TITLE II: Y	TITLE V: Y	TITLE VIII: Y	TITLE XI: Y	TITLE XIV: Y
TITLE III: Y	TITLE VI: Y	TITLE XI: Y	TITLE XII: Y	TITLE XV: Y

Program: TEACH GRANT

Certified: DATE:

 TITLE IV STUDENT FINANCIAL ASSISTANCE PROGRAMS

<u>PROGRAM</u>	<u>CERTIFIED</u>	<u>APPROVAL DATE</u>	<u>PROGRAM</u>	<u>CERTIFIED</u>	<u>APPROVAL DATE</u>
FWS Com Serv	Y	01/01/1966	FWS Priv Sec Empl	Y	01/01/1966
FWS Job Loc Dev	Y	01/01/1966	FFEL Staff	Y	01/01/1966
FFEL Staff Unsub	Y	01/01/1966	FFEL PLUS	Y	01/01/1966
FPerkins	Y	01/01/1966	FSEOG	Y	01/01/1966
FPell	Y	07/01/1972	FDSLPL Staff	Y	07/01/1994
FDSLPL Staff Unsub	Y	07/01/1994	FDSLPL PLUS	Y	07/01/1994

**** End of Section A ****



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ACCREDITATION SECTION

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INSTITUTION NAME : California Institute of Technology

OPE ID : 001131 00

INSTITUTION TYPE : Private, Nonprofit

ACCREDITATION

<u>ACCREDITING AGENCY</u>	<u>DESIGNATION</u>	<u>SCOPE</u>	<u>EFFECTIVE YEAR</u>	<u>NUMBER OF YEARS</u>
Western Association of Schools and Colleges - Sr. Colleges & Universities	Primary	Institutional	2009	10

**** End of Accreditation Section ****



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STATE AUTHORIZATION SECTION

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INSTITUTION NAME : California Institute of Technology

OPE ID : 001131 00

INSTITUTION TYPE : Private, Nonprofit

STATE AUTHORIZATION

STATE AGENCY

CA - Bureau for Private Postsecondary Education (Complaint Agreements)

**** End of State Authorization Section ****



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OFFICIALS SECTION

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INSTITUTION NAME : California Institute of Technology

OPE ID : 001131 00

INSTITUTION TYPE : Private, Nonprofit

OFFICIALS

<u>NAME AND ADDRESS</u>	<u>TITLE</u>	<u>PHONE</u> <u>FAX</u>	<u>E-MAIL</u>
Rosenbaum, Thomas F 1200 East California Boulevard MC 204-31 Pasadena, CA 91125-0000	President Member of the Board	(626) 395-6301 (626) 449-9374 0000	tfr@ caltech.edu
Crewell, Don A 1200 East California Boulevard MC 20-90 Pasadena, CA 91125-8700	Director of Financial Aid	(626) 395-6172 (626) 564-8136	dcrewell@ caltech.edu
Currie, Dean W 1200 East California Boulevard MC 212-31 Pasadena, CA 91125-0000	Vice President for Business and Finance	(626) 395-6275 (626) 585-8686 0000	dean.currie@ caltech.edu
Bonner, Robert C	Member of the Board		
Bren, Brigitte M Esq	Member of the Board		
Chess, Robert B	Member of the Board		
Davidow, William H	Member of the Board		
Friedman, Joshua S	Member of the Board		
Hufstedler, Shirley M 1200 East California Boulevard Pasadena, CA 91125-0000	Member of the Board		
Inman, Bobby R 1200 East California Boulevard Pasadena, CA 91125-0000	Member of the Board		

Kresa, Kent	Member of the Board	
Kutler, Jon B	Member of the Board	
Lee, David L	Member of the Board	
Lidow, Alexander	Member of the Board	
Linde, Ronald K 1200 East California Boulevard Pasadena, CA 91125-0000	Member of the Board	
Malcom, Shirley M	Member of the Board	
Neches, Philip M	Member of the Board	
Rising, Nelson C	Member of the Board	
Rothenberg, James F	Member of the Board	
Trimble, Charles R	Member of the Board	
Weisman, Walter L 1200 East California Boulevard Pasadena, CA 91125-0000	Member of the Board	
Wilson, Gayle E	Member of the Board	
Webster, Mary 1200 East California Boulevard Pasadena, CA 91125-0000	Secretary, Board of Trustees	(626) 395-6304 mwebster@ (626) 449-9374 caltech.edu

**** End of Officials Section ****



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ADDITIONAL LOCATIONS SECTION

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INSTITUTION NAME : California Institute of Technology

OPE ID : 001131 00

INSTITUTION TYPE : Private, Nonprofit

ADDITIONAL LOCATIONS

<u>OPE ID</u>	<u>NAME AND ADDRESS</u>	<u>APPROVED FOR CERTIFICATION</u>	<u>INITIAL APPROVAL DATE</u>
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**** End of Additional Locations Section ****



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SERVICER INFORMATION SECTION

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INSTITUTION NAME : California Institute of Technology

OPE ID : 001131 00

INSTITUTION TYPE : Private, Nonprofit

SERVICER INFORMATION

<u>SERVICER NAME</u>	<u>CONTACT NAME</u>	<u>SERVICES OFFERED</u>
Account Control Technology Inc PO Box 8012 Canoga Park, CA 91303	Ms. Brenda Sisk	Performing Loan Collection
Coast Professional, Inc. 214 Expo Circle, Suite 7 West Monroe, LA 71292	Ms. Corky Mobbley	Performing Loan Collection
Reliant Capital Solutions, LLC 750 Cross Pointe Road Suite G Gahanna, OH 43230	Margie Brickner	Performing Loan Servicing
Second Alliance 6911 Topanga Canyon Boulevard,#300 Canoga Park, CA 91303	Mr. Scott Holmquist	Performing Loan Collection
Xerox Education Services, Inc. 2277 East 220th Street Long Beach, CA 90810	Ms. Jane Sana	Performing Loan Servicing

**** End of Servicer Information Section ****

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